UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF NEW YORK

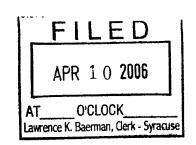
RICKY HINKLE, and JENNIFER HINKLE,

Plaintiffs,

-VS-

OTIS ELEVATOR COMPANY,

Defendant.



Civ. No.: NAM GHL

- 1. Pursuant to 28 U.S.C. Section 1446(a), defendant, OTIS ELEVATOR COMPANY, by its attorneys, Hurwitz & Fine, P.C., hereby gives notice of the removal of this action from the State of New York, Supreme Court, County of Tompkins, to the United States District Court for the Northern District of New York.
- 2. A copy of plaintiffs' Summons and Complaint filed in the State Court action is attached as **Exhibit A**.
 - 3. A copy of the defendant's verified answer is attached as **Exhibit B**.
- 4. Following service of plaintiffs' Summons and Complaint, defendant served a Request for Supplemental Demand for Relief pursuant to CPLR §3017(c) upon plaintiffs, attached as **Exhibit C**.
- 5. Less than thirty (30) days has elapsed since the receipt of plaintiffs' response to defendant's Request for Supplemental Demand for Relief. A copy of plaintiffs' letter response, which states that they have suffered damages in the amount of One Million and 00/100 Dollars (\$1,000,000.00), is attached as **Exhibit D**.
- 6. According to plaintiffs' Complaint, plaintiffs are residents of the State of New York (Exhibit A, ¶1).

7. According to plaintiffs' Complaint, plaintiff, RICKY HINKLE, was

allegedly involved in an accident that occurred on or about September 7, 2004 on the premises

known as the Fairview Apartments, located at 54 Fairview Square in Ithaca, New York.

8. Defendant is incorporated in the State of New Jersey with its corporate

headquarters located in Farmington, Connecticut.

9. The amount in controversy, as stated in plaintiffs' response to this

defendant's request for supplemental demand for relief, exclusive of interest and costs, exceeds

\$75,000.00 (Exhibit D).

10. Defendant is entitled to remove this action pursuant to 28 U.S.C. Section

1446(a), since the District Court has original jurisdiction over the action pursuant to 28 U.S.C.

Section 1332(a)(1), based upon the parties' diversity of citizenship.

11. Pursuant to 28 U.S.C. Section 1332(c)(1), a corporation shall be deemed

to be a citizen of any state by which it has been incorporated and of the state where it has its

principle place of business.

WHEREFORE, defendant, OTIS ELEVATOR COMPANY, hereby removes

this action from the State of New York, Supreme Court, County of Tompkins, to the United

States District Court for the Northern District of New York.

DATED:

Buffalo, New York April 10, 2006

HURWITZ & FINE, P.C.

Harry F. Mooney

Bar Roll #512095

Attorneys for Otis Elevator Company

1300 Liberty Building

Buffalo, New York 14202

(716) 849-8900

hfm@hurwitzfine.com

HURWITZ & FINE, P. C. 1300 LIBERTY BUILDING BUFFALO, NY 14202 TO: Craig Z. Small, Esq.
LAW OFFICE OF CRAIG Z. SMALL
424 Main Street, 1725 Liberty Building
Buffalo, New York 14202
(716) 847-2600

CERTIFICATE OF SERVICE

I hereby certify that I have this 10th day of April 2006, served a copy of the foregoing Notice of Removal upon the individual indicated below by hand delivering a copy of same upon the following:

Craig Z. Small, Esq. LAW OFFICE OF CRAIG Z. SMALL 424 Main Street, 1725 Liberty Building Buffalo, New York 14202 (716) 847-2600

Harry F. Mooney

EXHIBIT A

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OTIS LEGAL

PAGE 02/07

STATE OF NEW YORK

SUPREME COURT : COUNTY OF TOMPKINS

RICKY HINKLE and JENNIFER HINKLE 38 Crandall Street Cortland, New York 13045

Plaintiffs.

<u>Summons</u>

Index No. 1-2006/

VS.

oris ELEVATOR COMPANY c/o CT-Corporation System 111 Eighth Avenue New York, New York 10011

Defendant.

To the above named Defendant(s):

YOU ARE HEREBY SUMMONED AND REQUIRED to serve upon the Plaintiff's attorney, at the address stated below, a written Answer to the attached Complaint.

If this Summons is served upon you within the State of New York by personal service you must respond within *twenty* (20) days after service, not counting the day of service. If this Summons is not personally delivered to you within the State of New York you must respond within *thirty* (30) days after service is completed, as provided by law.

If you do not respond to the attached Complaint within the applicable time limitation stated above a Judgment will be entered against you, by default, for the relief demanded in the Complaint, without further notice to you.

This action is brought in the County of Tompkins because the accident occurred in Tompkins County.

DATED:

Buffalo, New York January 5, 2006

Yours, etc.,

LAW OFFICE OF CRAIG Z. SMALL

Attorneys for Plaintiff

Craig Z Small, Esq. Office & P.O. Address

424 Main Street, 1725 Liberty Building

Buffalo, New York 14202

Tel.: (716) 847-2600

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OTIS LEGAL

PAGE 03/07

STATE OF NEW YORK

SUPREME COURT : COUNTY OF TOMPKINS

RICKY HINKLE JENNIFER HINKLE

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Plaintiff

VERIFIED COMPLAINT

Index No. 12005/_2006-0044

OTIS ELEVATOR COMPANY

Defendant

Plaintiffs above-named, by their attorneys, Craig Z. Small and the Law Office of Craig-Z.

Small, complaining of the defendant, alleges as follows:

- 1. By virtue of the application of the exemption listed in Section 1602(7) of Article 15 of the CPLR, the limitations on liability imposed by that Article do not apply to the causes of action set forth herein.
- 2, At all times hereinafter mentioned, the plaintiffs are residents of the City of Cortland, County of Cortland and State of New York.
- 3. Upon information and belief, and at all times hereinafter mentioned, the defendant Otis Elevator Company was a foreign business corporation organized under the laws of the State of New Jersey, with a principal executive office in the State of Connecticut, and a registered agent c/o C T Corporation System, 111 Eighth Avenue, New York, New York 10011.
- 4. Upon information and belief, and at all times hereinafter mentioned, the defendant conducted and operated its business of managing, operating, maintaining, and repairing elevators in the City of Ithaca, County of Tompkins and State of New York.
- 5. On or about the 7th day of September, 2004, the plaintiff was lawfully on the premises of the Fairview Apartments, 54 Fairview Square in Ithaca, New York when he was caused to fall down in an unleveled elevator car due to the negligence of the defendant, its agents, servants and/or employees.

AS AND FOR THE FIRST, SEPARATE AND DISTINCT CAUSE OF ACTION ON BEHALF OF THE PLAINTIFF, RICKY HINKLE:

- 6. Plaintiffs repeat and reallege those allegations contained in paragraphs 1 through 5 with the same force and effect as if more fully set forth herein.
- 7. The defendant, its agents, servants and/or employees failed to maintain and repair the subject elevators and keep them in a safe and reasonable condition to persons lawfully thereat so as to constitute a menace and dangerous condition to plaintiff and others when defendant knew, or by exercise of due care should have known, of the dangerous conditions aforementioned.
- 8. The negligence of the defendant was the proximate cause of the accident herein, and plaintiff in no manner contributed thereto.
- 9. By reason of the foregoing, plaintiff was rendered sick, sore, lame and disabled, was and will be incapacitated for a long time to come, has been informed and verily believes that certain of his injuries are permanent in nature, was unable to attend to his usual duties for a considerable time, required medical aid and attention, suffered grievous physical pain and mental anguish and will continue to suffer pain for a considerable time to come.

AS AND FOR A SECOND, SEPARATE AND DISTINCT CAUSE OF ACTION ON BEHALF OF THE PLAINTIFF, JENNIFER HINKLE:

- 10. Plaintiffs repeat and reallege those allegations contained in paragraphs 1 through 9 with the same force and effect as if more fully set forth herein.
 - 11. Plaintiff, Jennifer Hinkle is the lawful wife of plaintiff, Ricky Hinkle.
- 12. As a result of the injuries sustained by the plaintiff, Jennifer Hinkle, the plaintiff, Ricky Hinkle has been deprived of and, upon information and belief, will continue to be deprived of his society, companionship and services and, upon information and belief, plaintiff, Ricky Hinkle's loss of his society, companionship and services will be permanent, all to her damage in an amount to be determined at the trial of this action.

WHEREFORE, plaintiffs demand judgment against the defendants, and each of them,

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OTIS LEGAL

PAGE 05/07

jointly and severally, on the first and second causes of action in an amount to be determined at the trial of this action; together with the costs and disbursements of the action, and for such other and further relief as this Court may deem just and proper.

DATED:

Buffalo, New York January 5, 2006

Yours, etc.,

LAW OFFICE OF CRAIG Z. SMALL

Attorneys for Plaintiff

Craig Z. Small, Esq. Office & P.O. Address 1725 Liberty Building 424 Main Street

Buffalo, New York 14202

Tel.: (716) 847-1725

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OTIS LEGAL

PAGE 06/07

STATE OF NEW YORK)
) ss.:
COUNTY OF CORTLAND)

RICKY HINKLE, being duly sworn, deposes and says that he resides in Cortland, New York; that he is the plaintiff in the within action and has read the foregoing Complaint; that the same is true to his own knowledge, except as to those matters therein alleged to be upon information and belief; and that as to those matters he believes it to be true.

RICKY HINKLE

Sworn to before me this /2 day of December, 2005

Notary Public

DOLORES S. HELLER Notary Public, State of New York No. 01HE5042731

Qualified in Cortland County 67

Commission Expires April 24, 20

STATE OF NEW YORK

COUNTY OF Lottand

JENNIFER HINKLE, being duly sworn, deposes and says that she resides at Cortland, New York; that she is the plaintiff in the within action and has read the foregoing Complaint; that the same is true to her own knowledge, except as to those matters therein alleged to be upon information and belief; and that as to those matters she believes it to be true.

Sworn to before me this / 2 day of December, 2005

Notary Public

DOLORES S. HELLER Notary Public, State of New York No. 01HE5042731 Qualified in Cortland County

Commission Expires April 24, 20 __c

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CT CORPORATION

A Wolterskillower Company

TO

Patrick Corcoran Otis Elevator Company One Farm Springs Farmington, CT, 06032-

RE:

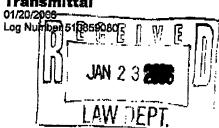
Process Served in New York

FOR:

Otis Elevator Company (Domestic State: NJ)

Service of Process

Transmittal



enclosed are copies of legal process received by the Statutory Agent of the above company as follows:

TITLE OF ACTIONS

Ricky Hinkle and Jennifer Hinkle, Pitfs. vs. Otis Elevator Company, Dft.

DOCUMENT(S) SERVED:

Summons, Verified Complaint.

COURT/AGENCY:

Tompkins County, the Supreme Court, State of New York, NY Case # 2006-0044

NATURE OF ACTIONS

Personal Injury - Slip and Fall - Alleges personal injuries/damages sustained on or about Sept. 7, 2004 due to accident on premises.

DN WHOM PROCESS WAS BERVED:

C T Corporation System, New York, NY

DATE AND HOUR OF SERVICES

By Process Server on 01/20/2006 at 11:17

APPEARANCE OR ANSWER DUE

20 Days,

ATTORNEY(9) / SENDER(8):

Craig Z Small, Esq. Law Office of Craig Z Small 424 Main Street

1725 Liberty Building Buffalo, NY, 14202 716 847 2600

ACTION ITEMS:

SOP Papers with Transmittal, via Fed Ex 2 Day, 790293615608

SIGNED: ADDRESS:

C T Corporation System Christopher Tilton 111 Eighth Avenue New York, NY, 10011 212-894-8940

TELEPHONE

Page 1 of 1/RJ

Information displayed on this transmittel is for CT Corporation's record keeping purposes only and is provided to the recipient for quick reference. This information does not constitute a legal opinion se to the return of scilon, the amount of demages, the answer date, or erly information contained in the documents themselves. Recipient is responsible for interpreting seld documents and for teking appropriate action.

EXHIBIT B

RICKY HINKLE and JENNIFER HINKLE,	
Plaintiffs,	
-vs-	<u>VERIFIED ANSWER</u>
OTIS ELEVATOR COMPANY,	Index No.: 2006-0044
Defendant.	

- 1. Admits the allegations contained in paragraphs 3 and 4 of the complaint.
- 2. Denies each and every allegation contained in paragraph 5 of the complaint.

complaint of plaintiffs, RICKY HINKLE and JENNIFER HINKLE, herein states the following:

- 3. Denies having knowledge and information sufficient to form a belief as to the allegations contained in paragraph 2 of the complaint.
- 4. Unable to set forth an answer with respect to paragraph 1 as it calls for a legal conclusion. However, insofar as this defendant is required to answer, it denies the allegations set forth in paragraph 1 of the complaint.

AS AND FOR AN ANSWER TO THE FIRST, SEPARATE AND DISTINCT CAUSE OF ACTION ON BEHALF OF THE PLAINTIFF, RICKY HINKLE:

- 5. As and for an answer to paragraph 6, this defendant repeats and realleges its answers to paragraphs 1 through 5 with the same force and effect as if fully set forth herein.
- 6. Denies each and every allegation contained in paragraphs 7, 8 and 9 of the complaint.

AS AND FOR AN ANSWER TO THE SECOND, SEPARATE AND DISTINCT CAUSE OF ACTION ON BEHALF OF THE PLAINTIFF, RICKY HINKLE:

- 7. As and for an answer to paragraph 10, this defendant repeats and realleges its answers to paragraphs 1 through 9 with the same force and effect as if fully set forth herein.
- 8. Denies having knowledge and information sufficient to form a belief as to the allegations contained in paragraphs 11 and 12.
- 9. Denies each and every other allegation in all causes of action not heretofore controverted.

AS AND FOR A FIRST, SEPARATE AND COMPLETE AFFIRMATIVE DEFENSE, THIS DEFENDANT ALLEGES, UPON INFORMATION AND BELIEF:

10. The injuries and/or damages alleged in the plaintiffs' complaint were caused in whole or in part by the culpable conduct, want of care and assumption of risk on the part of the plaintiffs, and without negligence, fault or want of care on the part of this defendant.

AS AND FOR A SECOND, SEPARATE AND COMPLETE AFFIRMATIVE DEFENSE, THIS DEFENDANT ALLEGES, UPON INFORMATION AND BELIEF:

11. That in the event a verdict or decision is rendered in favor of plaintiffs against this answering defendant, said defendant is entitled to limitations on liability as set forth in Article 16 of the CPLR.

AS AND FOR A THIRD, SEPARATE AND COMPLETE AFFIRMATIVE DEFENSE, THIS DEFENDANT ALLEGES, UPON INFORMATION AND BELIEF:

12. That pursuant to §4545 and other applicable sections of the CPLR, the defendant is entitled to a set off against the amount of any verdict of any monies collected from a collateral source of payment as set forth in said law.

WHEREFORE, this defendant, demands judgment as follows:

- 1. Dismissing the complaint herein, or
- 2. Determining the ultimate rights and responsibilities among the parties, including the culpable conduct of the plaintiffs and that of any tortfeasor jointly liable and further demands that if plaintiffs recover judgment against this answering defendant, the amount of damages be diminished in the proportion which the culpable conduct attributable to plaintiffs bears to the culpable conduct which caused the damage; and
- 3. Reducing plaintiffs' recovery in the proportion to which the plaintiffs' culpable conduct, assumption of risk and want of care bears to the culpable conduct which caused the plaintiffs' damages, and

4. Such other and further relief as to this court may seem just proper and equitable, together with the costs and disbursements of this action.

DATED:

Buffalo, New York February 8, 2006

HURWITZ & FINE, P.Q.

Jody E. Briandi, Esq.

Attorneys for Defendant,

Otis Elevator Company

1300 Liberty Building

Buffalo, New York 14202

(716) 849-8900

TO: Craig Z. Small, Esq.
Law Office of Craig Z. Small
Attorneys for Plaintiffs
424 Main Street
1725 Liberty Building
Buffalo, New York 14202
(716) 847-2600

2- 9-06; 4:19PM; BUFFALC FFICE

REIDY T. -E-FAX

;716 686 5360

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VERIFICATION

STATE OF NEW YORK)

ss.:

COUNTY OF ERIE

Timothy Reidy, being duly sworn deposes and says that he is the General Manager of Otis Elevator Company in this action; that he has read the foregoing verified answer and knows the contents thereof; that the same is true to the knowledge of deponent, except as to the matters therein stated to be alleged on information and belief, and that as to those matters he believes it to be true.

Timothy Reidy
General Manager

Otis Elevator Company

Subscribed and sworn to before me this 9th day of February, 2006

Votary Public

VANESSA L. SATTERLEE NOTARY PUBLIC, STATE OF NEW YORK Reg. No. 01SA6070895 QUALIFIED IN ERIE COUNTY No. Commission Engines Mer. 19, 2000:

EXHIBIT C

STATE OF NEW YO	RK	
SUPREME COURT	:	COUNTY OF TOMPKINS

RICKY HINKLE and JENNIFER HINKLE.

Plaintiffs,

REQUEST FOR SUPPLEMENTAL DEMAND FOR RELIEF

Index No.: 2006-0044

-vs-

OTIS ELEVATOR COMPANY,

Defendant.

PLEASE TAKE NOTICE, that pursuant to CPLR 3017(c), defendant, OTIS ELEVATOR COMPANY, hereby requests that, within fifteen (15) days hereof, the plaintiffs, serve a supplemental demand for relief setting forth the total damages to which they deem themselves entitled.

DATED:

Buffalo, New York February 8, 2006

HURWITZ & FINE, P.C.

John E. Briandi, Esq.
Autorneys for Defendant,
Otis Elevator Company
1300 Liberty Building
Buffalo, New York 14202
(716) 849-8900

TO: Craig Z. Small, Esq.
Law Office of Craig Z. Small
Attorneys for Plaintiffs
424 Main Street
1725 Liberty Building
Buffalo, New York 14202
(716) 847-2600

EXHIBIT D

Case 5:06-cv-00452-NAM-GHL Document 1 Filed 04/10/06 Page 22 of 23

LAW OFFICE OF CRAIG Z. SMALL

ATTORNEY

A T

L A W

Main Office: 424 Main Street Suite 1725 Buffalo, New York 14202

Satellite Office: 3522 James Street Syracuse, New York 13221 (315) 836-0060

Telephone: (716) 847-2600 Telefax: (716) 847-2020 csmall@smallaw.com www.smallaw.com

March 13, 2006

Jody E. Briandi, Esq. Hurwitz & Fine, P.C. 424 Main Street 1300 Liberty building Buffalo, New York 14202



Re:

Hinkle v. Otis Elevator Company Index Number: 1 2006/0044

Dear Ms. Briandi:

In response to your Omnibus Discovery Demands in the above referenced matter, please be advised as follows:

- 1. The plaintiff is not in possession of any statements made by the defendant.
- 2. The plaintiff is not in possession of any statements made by any other party to this lawsuit.
- 3. The plaintiff is not aware of any admission witnesses to this incident.
- 4. Duly executed authorizations for medical records are attached.
- 5. The treating providers for the plaintiff are:

Goldwyn Boyland Physical Therapy 1105 Route 13 Cortland, New York 13045

Progressive Neurosurgery, P.C. Gerald M. Zupruk, M.D. 201 Dates Drive, Suite 101 Ithaca, New York 14850

Matthew Estill, M.D. 1780 Hanshaw Road Ithaca, New York 14850

Melissa Thibault, M.D. 201 Dates Drive Suite 101 Ithaca, New York 14850

Dates of treatment are ongoing.

- 6. Experts will be revealed at the appropriate time.
- 7. Medical records in the possession of the plaintiff are attached.

- 8. Duly executed authorizations for employment records and Worker's Compensation records are attached.
- 9. A duly executed authorization for Social Security records is attached.
- 10. Witnesses to the incident or condition of the elevator are Jennifer Hinkle, Tim Stockholm, Particia Petrilla (both employees of Fairview Apts.), Jay Baldwin, S. Chen and S. Friedman (all Otis Elevator employees).
 - 11. The plaintiff is not in possession of any photos.
 - 12. The plaintiff is not in possession of any accident reports.
 - 13. There are no excess insurance agreements.
 - 14. The plaintiff is not in possession of any documents generated by the defendant.
- 15. A copy of the Affidavit of Service is attached. The date of the filing of the Summons and Complaint is January 10, 2006.
- 16. The only collateral source of payment is the Worker's Compensation carrier, Traveler's Insurance Company, P.O. Box 4614, Buffalo, New York 14240, adjuster Rob Logue, WCB case number 9050 2566, carrier case number 015-CB-ALQ3327J.
 - 17. The plaintiff is not in possession of any photos or films.

In regard to your Request for Supplemental Demand for Relief, please be advised that we are requesting \$1,000,000.00 in total damages.

Also enclosed, please find our Verified Bill of Particulars, as well as our Demand for Verfied Bill of Particulars, Omnibus Discovery Demands and Cross-Notice to Take Deposition.

If you have any questions, please do not hesitate to call.

Very truly yours,

ORAIG Z. SMALL

CZS/djj Enclosures